



01-30-06

ITW

January 27, 2005

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Nielsen, James T.; et. al.  
Assignee: Cardica, Inc.  
Title: Surgical Apparatus and Method for Anastomosis  
Serial No.: 10/750,712  
Examiner: Not yet assigned  
Docket No.: 149  
Filed: December 31, 2003  
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

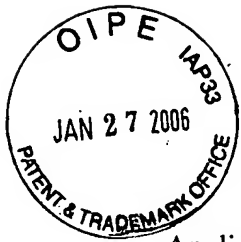
- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 3 references; and
- (4) Return postcard.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

**EXPRESS MAIL SERIAL NO.:**  
**EV430389276US**

Respectfully submitted,

Brian A. Schar, Esq.  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Nielsen, James T.; et. al.  
Assignee: Cardica, Inc.  
Title: Surgical Apparatus and Method for Anastomosis  
Serial No.: 10/750,712 Filing Date: December 31, 2003  
Examiner: Not yet assigned Group Art Unit: 3731  
Docket No.: 149

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98(d) and MPEP 609(I)(A)(2), copies of references listed on the form PTO-1449 and explanations of relevance of any non-English-language references that have been submitted in a parent application of this patent application are not included. A written English language translation of patent number SU 1667844A1 is provided under MPEP 609.01(B)(3)(b). A copy of EP 0625335B1 is provided, which is an English-language document to which DE 69406845T2 claims priority.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar  
Attorney for Cardica, Inc.  
Reg. No. 45,076  
900 Saginaw Drive  
Redwood City, CA 94063



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Substitute for form 1449A/PTO</b>			
		<i>Complete if Known</i>			
		<b>Application Number</b>	10/750,712		
		<b>Filing Date</b>	December 31, 2003		
		<b>First Named Inventor</b>	Nielsen, James		
		<b>Art Unit</b>	3731		
		<b>Examiner Name</b>	Unknown		
Sheet	1	of	1	Attorney Docket No: 149	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-2005/0267496 A1	12/01/2005	Loshakove, Amir et al.	
		US-4241861	12/30/1980	Fleischer, Harry N.	

FOREIGN PATENT DOCUMENTS				
Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
	DE-69406845	04/09/1998		
	EP-0625335B1	11/19/1997	Bolanos, Henry , et al.	
	RU-SU 1667844	08/07/1991		

EXAMINER

DATE CONSIDERED